COMPLIANCE AT MASON

- Compliance
- ADA/504
- Title IX
- EEOC/OCR
- Investigations
- Veterans Affairs
- Student & Employee Immigration
- SCHEV
- Tax/Accounting
- Clery Act
- Songdo Campus
- FOIA
- Internal Audit
- Research/OSP
- FERPA
- Restrictive Gifts
- NCAA
- Supplier Diversity (Facilities)
- Ethics & Privacy
- Ombudsperson
Compliance Descriptions

- Ombudsperson – currently located in Univ. Life and only serves students; there’s a growing need to expand the role of this person to serve faculty and staff. Along with expanding the role, there’s a consensus that the position should be located within my office

- Supplier Diversity (Facilities) – each organization that receives federal funds must setup and implement a supplier diversity program that reaches out to minority, women and disadvantaged business in their contracting and subcontracting programs

- NCAA – Athletics compliance and also federal compliance (Title IX – OCR)

- Environmental Health & Safety – OSHA compliance, as well as state regulations; university’s environmental compliance program, which is known as the environmental safety and sustainability system;

- UN Global Compact – there are a set of standards that the university must comply with in order to take part in this program; most center around ethics, equity, diversity, labor relations and human rights

- Restrictive Gifts – development/foundation (fundraising) must establish a policy regarding gifts to the university

- FERPA – Family Educational Rights and Privacy Act – protects student privacy and the university must comply with FERPA in areas such as the registrar, financial aid, student health records, student discipline, etc. Note: HIPPA exempts universities from compliance due to FERPA compliance

- Research/OSP – federal grants require compliance with federal law and agency policies (i.e., NSF, NIH, etc.)
- Internal Audit – monitors fraud, waste and abuse within state government and reports directly to the state; often provided wide latitude, but usually focuses on misuse of state funds and resources

- FOIA – Freedom of Information Act – all state agencies must provide (upon request) all public documents not subject to exceptions or privileges explicitly noted within the state statute; state agencies may charge reasonable fees associated with preparing requested material

- Songdo Campus – when operating in an expanding international environment, the university will encounter varying laws and business practices when it conducts its affairs outside the U.S. When a university policy or a provision of a policy conflicts with the laws in another country, the university seeks to have its operations and activities comply with the laws of that jurisdiction. Since differences between university policy and foreign laws may not be self-evident and can be complex, whenever there is a question regarding the university’s legal responsibilities outside of the U.S., University Counsel should be consulted. A compliance officer should be able to evaluate and to advise the appropriate course of action in terms of policy or ethical concerns.

- Clery Act - is a federal mandate requiring all universities that participate in the federal student financial aid program to disclose information about crime on their campuses and in the surrounding communities. The Clery Act affects virtually all public and private schools and is enforced by the Department of Education. Campuses that fail to comply with the act can be penalized with large fines and may be suspended from participating in the federal financial aid program.

- Tax/Accounting – all state agencies must comply with tax, accounting and financial reporting regulations. These include state and federal regulations.

- SCHEV – State Council of Higher Education in VA requires compliance with state laws, as well as policies in number of areas
Compliance Descriptions (Continued: Last Slide)

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